FILED VIA EFS

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**In re application of:** Liu *et al.* 

Patent No. 7,309,757

**Issued:** December 18, 2007 **Application No.** 10/601,262

Filed: June 20, 2003 Confirmation No. 6713

For: POLYMERS FOR THE DELIVERY OF

**BIOACTIVE AGENTS AND METHODS** 

OF THEIR PREPARATION

Examiner: Ana Lucrecia Woodward

Art Unit: 1711

Attorney Reference No. 6565-66243-01

FILED VIA ELECTRONIC FILING SYSTEM COMMISSIONER FOR PATENTS

### REQUEST FOR CERTIFICATE OF CORRECTION

The following printing errors were noted in comparing the printed patent with the papers in the attorneys' files:

#### In the Claims:

# Claim 2, column 22, line 3, the following text should be inserted following "or more":

--heteroatoms selected from the group consisting of--

#### In the Specification:

Column 4, line 24 to Column 5, line 13 should be replaced with the following text (as is apparent from the application as originally filed):

--wherein:

each of R<sup>1</sup> and R<sup>3</sup> is independently hydrogen, hydroxyl, halide, thiohydroxyl or hydrocarbyl;

 $R^2$  is unsubstituted or substituted  $C_{1-30}$  alkylene optionally containing one or more heteroatoms selected from the group consisting of N, O and S; unsubstituted or substituted  $C_{2-30}$  alkenylene optionally containing one or more

heteroatoms selected from the group consisting of N, O and S; or unsubstituted or substituted  $C_{2-30}$  alkynylene optionally containing one or more heteroatoms selected from the group consisting of N, O and S;

 $R^5$  is:

- (i) unsubstituted or substituted  $C_{1-30}$  alkylene optionally containing one or more heteroatoms selected from the group consisting of N, O and S; unsubstituted or substituted  $C_{2-30}$  alkenylene optionally containing one or more heteroatoms selected from the group consisting of N, O and S; or unsubstituted or substituted  $C_{2-30}$  alkynylene optionally containing one or more heteroatoms selected from the group consisting of N, O and S; or
  - (ii)  $-R^6-M-R^7$ , where

 $R^6$  is bonded to  $-N(R^4)$ - and is unsubstituted or substituted  $C_{1-6}$  alkylene optionally containing one or more heteroatoms selected from the group consisting of N, O and S, or unsubstituted or substituted  $C_{2-6}$  alkenylene optionally containing one or more heteroatoms selected from the group consisting of N, O and S;

M is CH or N; and

 $R^7$  is unsubstituted or substituted  $C_{1\text{-}28}$  alkylene optionally containing one or more heteroatoms selected from the group consisting of N, O and S; unsubstituted or substituted  $C_{2\text{-}28}$  alkenylene optionally containing one or more heteroatoms selected from the group consisting of N, O and S; or unsubstituted or substituted  $C_{2\text{-}28}$  alkynylene optionally containing one or more heteroatoms selected from the group consisting of N, O and S;

R<sup>4</sup> is

- (i) hydrocarbyl; or
- (ii) when R<sup>5</sup> is -R<sup>6</sup>-M-R<sup>7</sup>-, R<sup>4</sup> is also bonded to M and is unsubstituted or substituted C<sub>1-6</sub> alkylene optionally containing one or more heteroatoms selected from the group consisting of N, O and S, or unsubstituted or substituted C<sub>2-6</sub> alkenylene optionally containing one or more heteroatoms selected from the group consisting of N, O and S; and R<sup>4</sup>, M, R<sup>6</sup> and the nitrogen atom to which R<sup>4</sup> and R<sup>6</sup> are bonded form a saturated or unsaturated four- to twelve-membered heterocyclic ring,

with the proviso that R<sup>1</sup>, R<sup>2</sup>, R<sup>3</sup>, R<sup>4</sup> and R<sup>5</sup> cannot have a primary amino group, a secondary amino group, or a C=C double bond conjugated with a carbonyl group.

In a particular embodiment of the present invention, the invention relates to a poly(amino ester) compound comprising 1 to 2000 linear units independently selected from the group consisting of a linear unit of formula I and a linear unit of formula II and optionally comprising one or more linear--

Column 6, line 66, " $R^2$ ,  $R^2$  and  $R^3$ " should be changed to -- $R^1$ ,  $R^2$  and  $R^3$ -Column 7, line 35, " $R^1$ ,  $R^2$ ,  $R^3$ ,  $R^4$ " should be changed to -- $R^1$ ,  $R^2$ ,  $R^3$ ,  $R^4$ --

All of the above errors are attributable to the Patent Office, and a Certificate of Correction is enclosed to make formal notice of the errors in the referenced patent.

Respectfully submitted,

KLARQUIST SPARKMAN, LLP

One World Trade Center, Suite 1600 121 S.W. Salmon Street Portland, Oregon 97204

Telephone: (503) 595-5300 Facsimile: (503) 595-5301

cc: Docketing

By /Tanya M. Harding/

Tanya M. Harding, Ph.D. Registration No. 42,630

Staple Here Only

## UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE OF CORRECTION

PATENT NO. : 7,309,757

DATED : December 18, 2007

INVENTOR(S) : Liu et al.

It is certified that errors appear in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

#### **In the Claims:**

Claim 2, column 22, line 3, the following text should be inserted following "or more":

--heteroatoms selected from the group consisting of--

#### In the Specification:

Column 4, line 24 to Column 5, line 13 should be replaced with the following text (as is apparent from the application as originally filed):

--wherein:

each of R<sup>1</sup> and R<sup>3</sup> is independently hydrogen, hydroxyl, halide, thiohydroxyl or hydrocarbyl;

 $R^2$  is unsubstituted or substituted  $C_{1-30}$  alkylene optionally containing one or more heteroatoms selected from the group consisting of N, O and S; unsubstituted or substituted  $C_{2-30}$  alkenylene optionally containing one or more heteroatoms selected from the group consisting of N, O and S; or unsubstituted or substituted  $C_{2-30}$  alkynylene optionally containing one or more heteroatoms selected from the group consisting of N, O and S;

 $R^{5}$  is:

- (i) unsubstituted or substituted  $C_{1-30}$  alkylene optionally containing one or more heteroatoms selected from the group consisting of N, O and S; unsubstituted or substituted  $C_{2-30}$  alkenylene optionally containing one or more heteroatoms selected from the group consisting of N, O and S; or unsubstituted or substituted  $C_{2-30}$  alkynylene optionally containing one or more heteroatoms selected from the group consisting of N, O and S; or
  - (ii) -R<sup>o</sup>-M-R', where

 $R^6$  is bonded to  $-N(R^4)$ - and is unsubstituted or substituted  $C_{1-6}$  alkylene optionally containing one or more heteroatoms selected from the group consisting of N, O and S, or unsubstituted or substituted  $C_{2-6}$  alkenylene optionally containing one or more heteroatoms selected from the group consisting of N, O and S;

M is CH or N; and

 $R^7$  is unsubstituted or substituted  $C_{1-28}$  alkylene optionally containing one or more heteroatoms selected from the group consisting of N, O and S; unsubstituted or substituted  $C_{2-28}$  alkenylene optionally containing one or more heteroatoms selected from the group consisting of N, O and S; or unsubstituted or substituted  $C_{2-28}$  alkynylene optionally containing one or more heteroatoms selected from the group consisting of N, O and S;

R<sup>4</sup> is

- (i) hydrocarbyl; or
- (ii) when R<sup>5</sup> is -R<sup>6</sup>-M-R<sup>7</sup>-, R<sup>4</sup> is also bonded to M and is unsubstituted or substituted C<sub>1-6</sub> alkylene optionally containing one or more heteroatoms selected

MAILING ADDRESS OF SENDER:

Klarquist Sparkman, LLP One World Trade Center, Suite 1600 121 SW Salmon Street Portland, Oregon 97204 PATENT NO. 7,309,757 No. of add'l copies\_\_\_\_\_ (@ .30 per page) from the group consisting of N, O and S, or unsubstituted or substituted  $C_{2-6}$  alkenylene optionally containing one or more heteroatoms selected from the group consisting of N, O and S; and  $R^4$ , M,  $R^6$  and the nitrogen atom to which  $R^4$  and  $R^6$  are bonded form a saturated or unsaturated four- to twelve-membered heterocyclic ring,

with the proviso that R<sup>1</sup>, R<sup>2</sup>, R<sup>3</sup>, R<sup>4</sup> and R<sup>5</sup> cannot have a primary amino group, a secondary amino group, or a C=C double bond conjugated with a carbonyl group.

In a particular embodiment of the present invention, the invention relates to a poly(amino ester) compound comprising 1 to 2000 linear units independently selected from the group consisting of a linear unit of formula I and a linear unit of formula II and optionally comprising one or more linear--

Column 6, line 66, "'R<sup>2</sup>, R<sup>2</sup> and R<sup>3</sup>" should be changed to --R<sup>1</sup>, R<sup>2</sup> and R<sup>3</sup>-Column 7, line 35, "'R<sup>1</sup>, R<sup>2</sup>, R<sup>3</sup> R<sup>4</sup>" should be changed to --R<sup>1</sup>, R<sup>2</sup>, R<sup>3</sup>, R<sup>4</sup>--

One World Trade Center, Suite 1600 121 SW Salmon Street Portland, Oregon 97204